

Utility

Patent Application

Transmittal

05-01-01
Attorney Docket No. 7056.001

First Inventor or Application Identifier:

Mel Gunewardena

Title: A Method, Software Program, and System for Ranking
Relative Risk of a Plurality of Transactions

Express Mail No. EK715143027

A

[X] STATEMENT PURSUANT TO 35 U.S.C § 122 (b)(2)(B)(i)-(iii) AND 37 CFR § 1.21
DO NOT PUBLISH APPLICATION

APPLICATION ELEMENTS

See MPEP chapter 6000 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. [] *Fee Transmittal Form(e.g., PTO/SB/17)
(Submit an original and a duplicate for
fee processing)

2. [X] Specification [Total pages 38]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. [X] Drawing(s) (35 U.S.C. 113) [Total Sheets 13]

4. Oath or Declaration

- a. [X] Newly executed (original or copy)
- b. [] Copy from a prior application
(37 C.F.R. §1.63(d)) (for continuation/divisional with
box 16 completed)

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. §§1.63(d)(2)
and 1.33(b).

5. [] Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)

- a. [] Computer Readable Copy
- b. [] Paper Copy (identical to computer copy)
- c. [] Statement verifying identity of above
copies

ACCOMPANYING APPLICATION PARTS

7 [] Assignment Papers (cover sheet & documents)

8 [] 37 CFR §3.73(b) Statement [] POA
(When there is an assignee)

9 [] English Translation Document (if applicable)

10 [] Information Disclosure [] copies of IDS
Statement citations

11 [] Preliminary Amendment

12 [X] Return Receipt Postcard
(Should be specifically itemized)

13 [] **SMALL ENTITY STATUS**

14 [] Certified copies of priority document(s)
(If foreign priority is claimed)

16. If a CONTINUING APPLICATION, check appropriate box:

[] Continuation [] Divisional [] Continuation-in-part (CIP) of prior Application No


Prior application information: Examiner Group / Art Unit:

CORRESPONDENCE ADDRESS

[] Customer Number or Bar Code Label

or [] Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Barry J. Schindler				
Address	499 Park Avenue				
City	New York	State	NY	Zip Code	10022
Country	USA	Telephone	(212) 328-6100	Fax	(212) 328-6101
Name (print/type)	Barry J. Schindler		Registration No. (Attorney/Agent)		32,938
Signature				Date	April 30, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL**for FY 2001**

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small
entity statement, otherwise large entity fees must be
paid. See Forms PTO/SB/09-12.

Complete if KnownApplication Number To Be AssignedFiling Date April 30, 2001First Named Inventor Mel gunewardenaExaminer Name To Be AssignedGroup / Art Unit To Be AssignedAttorney Docket No. 7056.001**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge
indicated fees and credit any over payment to:

Deposit Account No. _____
Deposit Account Name _____

☐ Charge Any Additional

Fee Required Under

37 CFR 1.16 and 1.17

2. ☒ **Payment Enclosed:**

☐ Check ☐ Money Order ☒ **Other Credit Card**

Payment form

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee	Fee	Fee	Fee	
Code (\$)	Code (\$)	Fee Description	Fee Paid	
101	710	201	355	Utility filing fee \$710.00
106	310	206	160	Design filing fee
107	480	207	245	Plant filing fee
108	760	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

SUBTOTAL (1) \$710.00

2. EXTRA CLAIM FEES

Extra claims Fee from below Fee Paid

Total Claims 84 -20** = 64 x 18 = 1152

Independent

Claims 6 -3** = 3 x 80 = 240

Multiple

Dependent _____ = 1392

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description
106	18	203	9	Claims over 20
102	80	202	40	Independent Claims in Excess of 3
104	270	204	135	Multiple Dependent Claims
109	80	209	40	**Reissue Independent Claims

FEE CALCULATION**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee	Fee	Fee	Fee	
Code (\$)	Code (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge-late filing fee or oath
127	50	227	25	Surcharge-late prov
				provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR
				prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR
				after to Examiner action
115	110	215	55	Extension for reply within first month
116	380	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,850	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	300	220	155	Filing a brief in support of an appeal
121	260	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceedings
140	110	240	55	Petition to revive-unavoidable
141	1,210	241	620	Petition to revive-unintentional
142	1,210	242	620	Utility issue fee (or reissue)
143	430	243	220	Design issue fee
144	580	244	300	Plant issue fee
122	130	122	130	Petition to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	50	Recording each patent assignment per
				Property (times number of properties)
146	760	246	380	Filing a submission after final rejection
				(37 CFR 1.129(a))
149	760	249	380	For each additional invention to be
				examined (37 CFR 1.129(a))
179	710	279	355	Request for Continued Examination (RCE)

Other fee (specify) _____
Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

TOTAL: \$2102.00

SUBMITTED BY

Typed or
Printed Name

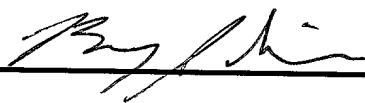
Barry J. Schindler

Complete (if applicable)

Reg. Number

32,938

Signature



Date

April 30, 2001

Deposit Account

User ID

Request and Certification

Under

35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor: Mel Gunewardena

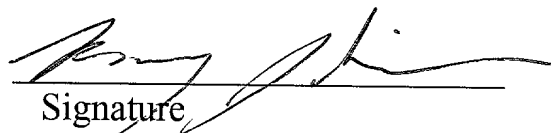
Title:

A METHOD, SOFTWARE PROGRAM, AND
SYSTEM FOR RANKING RELATIVE RISK OF
A PLURALITY OF TRANSACTIONS

Docket No. : 7056.001

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date: April 30, 2001


Signature

Barry J. Schindler

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(i)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The Information is used by the public to request that an application not be published under 35 U.S.C 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Mel Gunewardena
SERIAL NO.: To Be Assigned
FILED: April 30, 2001
ATTY. DOCKET: 7056.001
TITLE: A METHOD, SOFTWARE PROGRAM, AND SYSTEM FOR
RANKING RELATIVE RISK OF A PLURALITY OF TRANSACTIONS

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EK715143027-US


Date of Deposit: April 30, 2001

I hereby certify that the following attached paper(s) and/or fee

- (1) Utility Patent Application Transmittal;
- (2) Fee Calculation Sheet;
- (3) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i);
- (4) Patent Application (comprised of 51 pages, 23 pages of specification, 14 pages of claims, and 1 abstract and 13 pages of figures);
- (5) Credit Card Payment Form in the Amount of \$2,102.00 to cover the filing fee;
- (6) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the "Assistant Commissioner for Patents, Box New Patent Applications, Washington, D.C. 20231"

Respectfully submitted,
DREIER & BARITZ, LLP.


Paula M. Maleh

Dated: April 30, 2001

CORRESPONDENCE:

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